



DOCKET NO.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Declaration for Patent Application

As a named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name;

I believe I am the original, first and sole inventor (if only one name is listed) or an original, first and joint inventor (if plural names are listed in the signatory page(s) commencing at page 3 hereof) of the subject matter which is claimed and for which a patent is sought on the invention entitled

ARRAYED TRANSFECTION METHOD AND USES RELATED THERETO

the specification of which (check one)

☐ is attached hereto.

☒ was filed on March 22, 2001 as United States Application Number No. 09/817,003

and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is known by me to be material to patentability as defined in 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>			Priority Not Claimed	Certified Copy Filed?	
				YES	NO
_____ (Number)	_____ (Country)	_____ (Day/Month/Year filed)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
_____ (Number)	_____ (Country)	_____ (Day/Month/Year filed)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
_____ (Number)	_____ (Country)	_____ (Day/Month/Year filed)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

60/193,580
(Application Number)

March 30, 2000
(Filing Date)

60/154,737
(Application Number)

September 17, 1999
(Filing Date)

09/664,297 (Application Serial No.)	September 18, 2000 (Filing date)	(Status: patented, pending, abandoned)
(Application Serial No.)	(Filing date)	(Status: patented, pending, abandoned)
(Application Serial No.)	(Filing date)	(Status: patented, pending, abandoned)
(Application Serial No.)	(Filing date)	(Status: patented, pending, abandoned)

As a named inventor, I hereby appoint the attorneys and/or agents associated with **Customer No. 28120**, or

I hereby appoint Madeline F. Baer, Reg. No. 36,437; Steven J. Baughman, Reg. No. P-47,414; Johnny Y. Chen, Reg. No. 46,614; Gregory G. Glover, Reg. No. 34,173; William G. Gosz, Reg. No.: 27,787; Patricia Granahan, Reg. No. 32,227; David P. Halstead, Reg. No. 44,735; Daniel Hansburg, Reg. No. 36,156; Edward J. Kelly, Reg. No. 38,936; Robert A. Mazzarese, Reg. No. 42,852; Sanjay Sitlani, Reg. No. 47,558; Wolfgang Stutius, Reg. No. 40,256; Matthew P. Vincent, Reg. No. 36,709; as attorneys/agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

and Matthew P. Vincent, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Please send correspondence to: Customer No. 28120, or

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Ropes & Gray
One International Place
Boston, MA 02110-2624

Direct telephone calls to: Matthew P. Vincent Telephone No.: 617-951-7000

Direct facsimiles to: Matthew P. Vincent Facsimile No.: 617-951-7050

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor David M. Sabatini

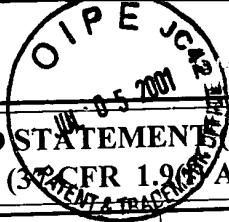
Inventor's Signature

Residence Cambridge, MA 02138

Citizenship U.S.

Post Office Address 1105 Massachusetts Avenue, Apt. 6B, Cambridge, MA 02138

Date _____



VERIFIED STATEMENTS (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.901 AND 1.27 (d)) - NONPROFIT ORGANIZATION			Docket No. WIBL-P02-001
Serial No. 09/817,003	Filing Date March 22, 2001	Patent No.	Issue Date
Applicant/ David M. Sabatini Patentee:			
Invention: ARRAYED TRANSFECTION METHOD AND USES RELATED THERETO			
<p>I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:</p> <p>NAME OF ORGANIZATION: <u>Whitehead Institute for Biomedical Research</u></p> <p>ADDRESS OF ORGANIZATION: <u>Nine Cambridge Center</u> <u>Cambridge, MA 02142-1479</u></p> <p>TYPE OF NONPROFIT ORGANIZATION:</p> <p><input type="checkbox"/> University or other Institute of Higher Education</p> <p><input checked="" type="checkbox"/> Tax Exempt under Internal Revenue Service Code (26 U.S.C. 501(a) and 501(c)(3))</p> <p><input type="checkbox"/> Nonprofit Scientific or Educational under Statute of State of The United States of America Name of State: _____ Citation of Statute: _____</p> <p><input type="checkbox"/> Would Qualify as Tax Exempt under Internal Revenue Service Code (26 U.S.C. 501(a) and 501(c)(3)) if Located in The United States of America</p> <p><input type="checkbox"/> Would Qualify as Nonprofit Scientific or Educational under Statute of State of The United States of America if Located in The United States of America Name of State: _____ Citation of Statute: _____</p> <p>I hereby declare that the above-identified nonprofit organization qualifies as a nonprofit organization as defined in 37 C.F.R. 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:</p> <p><input type="checkbox"/> the specification to be filed herewith.</p> <p><input checked="" type="checkbox"/> the application identified above.</p> <p><input type="checkbox"/> the patent identified above.</p> <p>I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.</p> <p>If the rights held by the above-identified nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed on the next page and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).</p>			

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern or organization exists.
☐ each such person, concern or organization is listed below.

FULL NAME

ADDRESS

☐

Individual

☐

Small Business Concern

☐

Nonprofit Organization

FULL NAME

ADDRESS

☐

Individual

☐

Small Business Concern

☐

Nonprofit Organization

FULL NAME

ADDRESS

☐

Individual

☐

Small Business Concern

☐

Nonprofit Organization

FULL NAME

ADDRESS

☐

Individual

☐

Small Business Concern

☐

Nonprofit Organization

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

Patricia Granahan

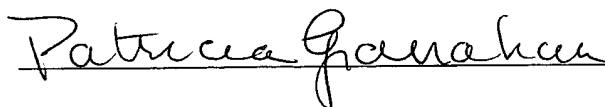
TITLE IN ORGANIZATION:

Intellectual Property Manager for Biomedical Research

ADDRESS OF PERSON SIGNING:

Whitehead Institute
 Nine Cambridge Center
 Cambridge, MA 02142-1479

SIGNATURE:



DATE:

June 28, 2001